

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:)	
)	
Jonathan HASWELL)	Group Art Unit: TBA
)	
Serial No.: TBA)	
)	Examiner: TBA
Filed: December 15, 2003)	
)	
For: A POLICY-DRIVEN FILE)	Atty Dkt No. ARC920030095US1
SYSTEM WITH INTEGRATED)	
RAID FUNCTIONALITY)	

INFORMATION DISCLOSURE STATEMENT

Commissioner For Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Sir:

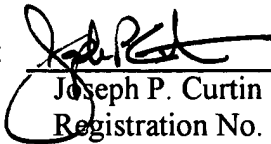
Pursuant to 37 C.F.R. § 1.56 and in compliance with 37 C.F.R. § 1.97(b), Applicant submits herewith a Form PTO-1449 listing patents and publications for consideration in connection with the above-identified application. A copy of each publication is provided herewith.

Applicant respectfully requests that the Examiner consider and enter these documents into the file of the above-identified application. If any additional fee is deemed necessary, the Commissioner is authorized to charge Deposit Account No. 09-0441.

A general authorization under 37 C.F.R. § 1.25(b), second sentence, is hereby given to credit or debit Deposit Account No. 09-0441 for the instant filing and for any other fees during the pendency of this application under 37 C.F.R. §§ 1.16, 1.17 and 1.18.

Respectfully submitted,

By:



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Dated: December 15, 2003

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				Application Number	TBA
				Filing Date	December 15, 2003
				First Named Inventor	Jonathan HASWELL
				Art Unit	TBA
				Examiner Name	TBA
				Attorney Docket Number	ARC920030095US1
Sheet	1	of	2		

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Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, Washington, DC 20231.**

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Substitute for form 1449/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if Known

Applicant Information	Application Number	TBA			
	Filing Date	December 15, 2003			
	First Named Inventor	Jonathan HASWELL			
	Art Unit	TBA			
	Examiner Name	TBA			
Sheet	2	of	2	Attorney Docket Number	ARC920030095US1

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	2	K.S. Amiri, "Scalable and manageable storage systems," Department of Electrical and Computer Engineering, Carnegie Mellon University, CMU-CS-00-178, pp. 54-59, December 2000.	
	3	K. Amiri et al., "Dynamic Function Placement in Active Storage Clusters," School of Computer Science, Carnegie Mellon University, CMU-CS-99-140, June 1999.	
	4	J.H. Hartman et al., "The Zebra Striped Network File System," pp. 1-25.	
	5	B.R. Montague, "The Swift/RAID Distributed Transaction Driver," Baskin Center for Computer Engineering & Information Sciences, University of California, Santa Cruz, UCSC-CRL-93-03, 1 January 1993.	

Examiner Signature		Date Considered	
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